

10-01-01

Docket 65988 CCD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D. C. 20231

R:

Transmitted herewith for filing are the specification and claims of
the patent application of

Katsuyuki YAMADA, Shinya NARUMI, Makoto HARIGAYA, Katsuhiko TANI,
Noriyuki IWATA, Nobuaki ONAGI, Kazunori ITO, Takashi SHIBAGUCHI,
Eiko HIBINO, Hajime YUZURIHARA, Hiroko OKURA, Akira SHIMOFUKU
and Yuki NAKAMURA
Inventors

for

**OPTICAL INFORMATION RECORDING MEDIUM, METHOD OF MANUFACTURING
THE OPTICAL INFORMATION RECORDING MEDIUM, AND METHOD OF AND
APPARATUS FOR RECORDING/REPRODUCING OPTICAL INFORMATION**
Title

Enclosed are:

- XX 17 sheets of informal xx formal drawings.
 ___ Oath or declaration of Applicants.
 ___ A power of attorney.
 ___ An assignment of the invention to _____.
 ___ A Preliminary Amendment.
 ___ A verified statement to establish small entity status under
 37 C.F.R. § 1.9 and § 1.27.

The filing fee is calculated as follows:

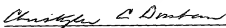
CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate		Fee	
	No. Filed		No. Extra*		Small Entity	Other	Small Entity	Other
Total Claims	32	-20 =	12	x	\$ 9	\$18	- \$ -0-	\$ 216
Indep. Claims	6	- 3 =	3	x	\$40	\$80	- \$ -0-	\$ 240
Multiple Dependent claims presented: Yes ___ No <u>xx</u> ___								
Fee							\$ 355	\$ 710
Total Fee							\$	\$1,166

/*If the difference in col.1 is less than zero, enter "0" in col.2

- xx A check in the amount of \$1,166 to cover the filing fee.
- Please charge Deposit Account No. 03-3125 in the amount of \$.
- xx The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Account No. 03-3125.
- xx Filing fees under 37 C.F.R. § 1.16.
- xx Patent application processing fees under 37 C.F.R. § 1.17
- The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).
- xx Three copies of this sheet are enclosed.
- xx Applicants hereby claim priority under 35 U.S.C. § 119 based on previously filed foreign application No. 2000-297364, filed in Japan on September 28, 2000; No. 2000-310536, filed in Japan on October 11, 2000; No. 2000-367361, filed in Japan on December 1, 2000 & No. 2000-088516, filed in Japan on March 26, 2001.
- A certified copy of the aforementioned foreign application(s) is/are enclosed.
- xx Other (identify) **Unsigned Declaration form.**

Respectfully submitted,


Christopher C. Dunham
Reg. No. 22,031
Attorney for Applicants
c/o COOPER & DUNHAM LLP
1185 Ave. of the Americas
New York, New York 10036
Tel. (212) 278-0400

Dated: September 28, 2001

Express Mail Label No.
EM 082 559 920 US

09565171 002001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Katsuyuki YAMADA et al.

Serial No.: not yet assigned

Filed : currently herewith

For : OPTICAL INFORMATION RECORDING MEDIUM, METHOD
OF MANUFACTURING THE OPTICAL INFORMATION RECORDING
MEDIUM, AND METHOD OF AND APPARATUS FOR RECORDING/
REPRODUCING OPTICAL INFORMATION

EXPRESS MAIL LETTER OF TRANSMITTAL

1185 Ave. of the Americas
New York, N.Y. 10036
September 28, 2001

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

S I R:

I hereby certify that the above-identified Patent applica-
tion (consisting of 127 pages, including 32 claims and an Ab-
stract; 17 sheets of formal drawings; unsigned Declaration
form, transmittal form with claim of priority, and fee check
for \$1,166) is being deposited with the United States Postal
Service "Express Mail Post Office to Addressee" service
under 37 C.F.R. §1.10 on the date indicated below and is
addressed to the Assistant Commissioner for Patents, Washing-
ton, D.C. 20231.


Mailroom Employee *James H. Hinkle*
Depositing Express Mail Material

Express Mail mailing label No. EM 082 559 920 US

Date of Deposit: SEPTEMBER 28, 2001

Christopher C. Dunham
Attorney for Applicants

Christopher C. Dunham,

Reg. No. 22,031

COOPER & DUNHAM LLP

Tel. (212) 278-0400

0996571-092001